

Topics On The Distribution Eigenvalues Of  
Non-Selfadjoint Elliptic Systems Of  
Differential Operators \*

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Received June 22, 2016 Accepted December 14, 2017

\* AMS Subject Classifications. Primary 47F05 (35JXX 35PXX).

† Research supported by Lorestan University, Khorramabad, Iran

### Abstract

In this paper, we first consider a non-selfadjoint differential operator on Hilbert space  $H_\ell = L^2(0, 1)^\ell$  with Dirichlet-type

boundary conditions in form  $(\mathcal{P}u)(t) = -\frac{d}{dt}(\omega^{2\beta}(t)A(t)\frac{du(t)}{dt})$ .

Here,  $0 \leq \beta < 1$ ,  $t \in [0, 1]$  and the matrix function  $A(t)$  has distinct eigenvalues  $\mu_1(t), \dots, \mu_\ell(t)$  which are different from zero and located in the complex plane in view of  $\Phi_\varphi$ , where  $\Phi_\varphi = \{z \in \mathbf{C} : |\arg z| < \varphi, \varphi \in (0, \pi)\}$ . Finally, we investigate some spectral properties of the degenerate non-selfadjoint elliptic differential operators  $\mathcal{P}$  acting on  $H_\ell$ . In particular, we will determine the resolvent estimate of the operator  $\mathcal{P}$  that satisfies Dirichlet-type boundary conditions in spaces  $H_1$  and  $H_\ell$ .

## 1 Introduction

Here, we need to recall the definition of the weighted Sobolev space. The symbol  $\mathcal{H}_\ell = W_{2,\beta}^2(0, 1)^\ell$  ( $\ell$ -times) denotes the space of vector functions  $u(t) = (u_1(t), \dots, u_\ell(t))$  defined on  $(0, 1)$  with the finite norm

$$|u|_s = \left( \int_0^1 (\omega^{2\beta}(t) \left| \frac{du(t)}{dt} \right|_{\mathbf{C}^\ell}^2 dt + \int_0^1 |u(t)|_{\mathbf{C}^\ell}^2 dt \right)^{1/2}.$$

Here,  $0 \leq \beta < 1$ , and the notations  $\left| \frac{du(t)}{dt} \right|_{\mathbf{C}^\ell}^2$ ,  $|u(t)|_{\mathbf{C}^\ell}^2$  stand for the norm in space  $\mathbf{C}^\ell$ . We use from the notation  $\mathring{\mathcal{H}}_\ell$  to define the closure of  $C_0^\infty(0, 1)^\ell$  with respect to the above norm (i.e.,  $\mathring{\mathcal{H}}_\ell$  is the closure of  $C_0^\infty(0, 1)^\ell$  in  $\mathcal{H}_\ell$ ).  $C_0^\infty(0, 1)$  denotes the space of infinitely differentiable functions with compact support in  $(0, 1)$ . If  $\ell = 1$ , then  $H = H_1$ ,  $\mathcal{H} = \mathcal{H}_1$ , and  $\mathring{\mathcal{H}} = \mathring{\mathcal{H}}_1$ . To get a feeling for the history of the subject under study, refer to papers [1-3]. In this paper, we consider the differential operator  $(\mathcal{P}u)(t) = -\frac{d}{dt} \left( \omega^{2\beta}(t)A(t)\frac{du(t)}{dt} \right)$ , (1.1) be a degenerate non-selfadjoint differential operator on Hilbert space  $H_\ell = L^2(0, 1)^\ell$  with Dirichlet-type boundary conditions. Here,  $0 \leq \beta < 1$ , and  $A(t) \in C^2([0, 1], \text{End } \mathbf{C}^\ell)$  denotes for each  $t \in [0, 1]$  the matrix function  $A(t)$ . Assume that  $A(t)$  has  $\ell$ -simple non-zero eigenvalues  $\mu_1(t), \dots, \mu_\ell(t)$  in the complex plane, arranged in different locations in view of  $\Phi_\varphi \subset \mathbf{C}$ , where

$$\Phi_\varphi = \{z \in \mathbf{C} : |\arg z| \leq \varphi, \varphi \in (0, \pi)\}.$$

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<sup>1</sup>Key words and phrases: resolvent, asymptotic spectrum, distribution of eigenvalues, non-selfadjoint, m-sectorial operator.

(i) If  $\mu_1(t), \dots, \mu_\nu(t)$  lie on the positive real line inside of the sector  $\Phi_\varphi$ , then it is simple to see that  $\mathcal{P}$  is self-adjoint. Thus, for every  $\lambda \in \Phi_{\varphi, \psi}$ , the estimate

$$\|(\mathcal{P} - \lambda I)^{-1}\| \leq M_{\Phi_{\varphi, \psi}} |\lambda|^{-1},$$

holds, where

$$\Phi_{\varphi, \psi} = \{z \in \mathbf{C} : \psi \leq |\arg z| \leq \varphi, \varphi \in (0, \pi), \psi \in (0, \varphi)\}.$$

(ii) Let  $\mu_{\nu+1}(t), \dots, \mu_\ell(t)$  lie outside of the sector  $\Phi_\varphi$ . In this paper, we investigate some spectral properties of the degenerate non-selfadjoint elliptic differential operators  $\mathcal{P}$  acting on  $H_\ell$ . In particular, we will determine the resolvent estimate of the operator  $\mathcal{P}$  which satisfies Dirichlet-type boundary conditions in spaces  $H_\ell$  and  $H$ . Now, the domain of operator  $\mathcal{P}$  is defined as follows:

$$D(\mathcal{P}) = \{u \in \overset{\circ}{\mathcal{H}}_\ell \cap W_{2,loc}^2(0, 1)^\ell : \frac{d}{dt} \left( \omega^{2\beta}(t) A \frac{du}{dt} \right) \in H_\ell\}$$

(see [7]). Here  $W_{2,loc}^2(0, 1)^\ell = W_{2,loc}^2(0, 1) \times \dots \times W_{2,loc}^2(0, 1)$  ( $\ell$ -times) where  $W_{2,loc}^2(0, 1)$  the space of functions  $u(t)$  ( $0 < t < 1$ ) satisfying the condition

$$\sum_{i=0}^2 \int_\varepsilon^{1-\varepsilon} |u^{(i)}(t)|^2 dt < \infty, \quad \forall \varepsilon \in (0, \frac{1}{2}).$$

Here, and in the sequel, the value of the function  $\arg z \in (-\pi, \pi]$  and  $\|\mathcal{P}\|$  denotes the norm of the bounded arbitrary operator  $\mathcal{P}$  acting on  $H$  or  $H_\ell$ .

## 2 Results

In this section, we give some theorems that estimate resolvent of an differential operator on a Hilbert space.

## 2.1 Resolvent Estimate of $\mathcal{P}$ in $H = L_2(0,1)$

**Theorem 2.1.** Let  $\Phi_\varphi \subset \mathbf{C}$  be some closed sector with the vertex at 0, and set  $\mathcal{P} = q$ ,  $A(t) = \mu(t)$  in (1.1) in Section 1. Then, we obtain

$(qv)(t) = -\frac{d}{dt} \left( \omega^{2\beta}(t)\mu(t)\frac{dv(t)}{dt} \right)$ , acting on  $H = L_2(0,1)$ . Assume that

$$\mu(t) \in C^2[0,1], \quad \mu(t) \in \mathbf{C} \setminus \Phi_\varphi, \quad \forall t \in [0,1], \quad (2.1)$$

$$|\arg\{\mu(t_1)\mu^{-1}(t_2)\}| \leq \frac{\pi}{8}, \quad (\forall t_1, t_2 \in [0,1]). \quad (2.2)$$

Then, for sufficiently large numbers in modulus  $\lambda \in \Phi_{\varphi,\psi}$ , the inverse operator  $(q - \lambda I)^{-1}$  exists and is continuous in the space  $H = L^2(0,1)$ , and the following estimates hold

$$\|(q - \lambda I)^{-1}\| \leq M_{\Phi_{\varphi,\psi}} |\lambda|^{-1} \quad (\lambda \in \Phi_{\varphi,\psi}, \quad |\lambda| > C_{\Phi_{\varphi,\psi}}), \quad (2.3)$$

$$\|\omega^{2\beta}(t) \frac{d}{dt} (q - \lambda I)^{-1}\| \leq M'_{\Phi_{\varphi,\psi}} |\lambda|^{-\frac{1}{2}} \quad (\lambda \in \Phi_{\varphi,\psi}, \quad |\lambda| > C_{\Phi_{\varphi,\psi}}), \quad (2.4)$$

where the numbers  $M_{\Phi_{\varphi,\psi}}$ ,  $M'_{\Phi_{\varphi,\psi}}$  and  $C_{\Phi_{\varphi,\psi}} > 0$  are sufficiently large numbers depending on  $\Phi_\varphi$  where  $\Phi_\varphi = \{z \in \mathbf{C} : |\arg z| \leq \varphi, \varphi \in (0, \pi)\}$ .

**Proof.** Here, to establish Theorem 2.1, we will first prove the assertion of Theorem 2.1 together with estimate (2.3). As in Section 1, for the closed extension of the operator  $q$ , (for more explanations, see chapter 6 of [7]), we need to extend its domain to the

$$D(q) = \{v \in \mathring{\mathcal{H}} \cap W_{2,\text{loc}}^2(0,1) : (\omega^{2\beta}(t)\mu v')' \in H\}.$$

Let the operator  $A$  now satisfy (2.1) and (2.2), then, there exists a real  $\gamma \in (-\pi, \pi]$ , such that for the complex number  $e^{i\gamma}$  we have  $|e^{i\gamma}| = 1$ , and so

$$c' \leq \operatorname{Re}\{e^{i\gamma}\mu(t)\}, \quad c'|\lambda| \leq -\operatorname{Re}\{e^{i\gamma}\lambda\}, \quad c' > 0 \quad \forall t \in [0,1], \quad \lambda \in \Phi_{\varphi,\psi}. \quad (2.5)$$

For  $v \in D(q)$  we will have

$$c' \int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt \leq \operatorname{Re} \int_0^1 e^{i\gamma} \omega^{2\beta} \mu |v'(t)|^2 dt = \operatorname{Re}\{e^{i\gamma}(qv, v)\}. \tag{2.6}$$

Here the symbol  $(\cdot)$  denotes the inner product in  $H$ . Notice that the equality in (2.6) above obtains by the well-known theorem of the  $m$ -sectorial operators, (For further explanations see the well-known Theorem 2.1, chapter 6 of [7].) By (2.5), we have  $c'|\lambda| \leq -\operatorname{Re}\{e^{i\gamma}\lambda\}$ ,  $c' > 0$ ,  $\forall \lambda \in \Phi_{\varphi, \psi}$ . Multiplying the latter inequality by  $\int_0^1 |v(t)|^2 dt = (v, v) = \|v\|^2 > 0$ , then

$$c'|\lambda| \int_0^1 |v(t)|^2 dt \leq -\operatorname{Re}\{e^{i\gamma}\lambda\}(v, v).$$

By the latter inequality and (2.6), and by considering  $c' = 1/M$ , it follows that

$$\begin{aligned} \int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt + |\lambda| \int_0^1 |v(t)|^2 dt &\leq M \operatorname{Re}\{e^{i\gamma}(q, v) - e^{i\gamma}\lambda(v, v)\} \\ &= M \operatorname{Re}\{e^{i\gamma}((q - \lambda I)v, v)\} \\ &\leq M \|e^{i\gamma}\| \|v\| \|(q - \lambda I)v\| \\ &= M \|v\| \|(q - \lambda I)v\|. \end{aligned} \tag{2.7}$$

Or

$$\int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt + |\lambda| \int_0^1 |v(t)|^2 dt \leq M \|v\| \|(q - \lambda I)v\|.$$

Since  $\int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt$  is positive, we will have

$$|\lambda| \|v\|^2 = |\lambda| \int_0^1 |v(t)|^2 dt \leq M \|v\| \|(q - \lambda I)v\|, \tag{2.8}$$

i.e.,

$$|\lambda| \|v\| \leq M \|(q - \lambda I)v\|.$$

The above relation ensures that the operator  $(q - \lambda I)$  is a one-to-one operator, which implies that  $\ker(q - \lambda I) = 0$ . Therefore, the inverse operator  $(q - \lambda I)^{-1}$  exists, and its continuity follows from the proof of the estimate (2.3) of Theorem 2.1. To prove (2.3), we set  $v = (q - \lambda I)^{-1}f$ ,  $f \in H$  in (2.8), so that

$$|\lambda| \int_0^1 |(q - \lambda I)^{-1}f|^2 dt \leq M \|(q - \lambda I)^{-1}f\| \|(q - \lambda I)(q - \lambda I)^{-1}f\|.$$

Since  $(q - \lambda I)(q - \lambda I)^{-1}f = I(f) = f$ , it follows that

$$|\lambda| \int_0^1 |(q - \lambda I)^{-1}f|^2 dt \leq M \|(q - \lambda I)^{-1}f\| \|f\|.$$

Therefore,

$$|\lambda| \|(q - \lambda I)^{-1}(f)\|^2 \leq M \|(q - \lambda I)^{-1}(f)\| \|f\|.$$

By canceling the positive term  $\|(q - \lambda I)^{-1}(f)\|$  from both sides of the latter inequality, we will find

$$|\lambda| \|(q - \lambda I)^{-1}(f)\| \leq M \|f\|,$$

and since  $\lambda \neq 0$ , we imply that  $\|(q - \lambda I)^{-1}(f)\| \leq M |\lambda|^{-1} \|f\|$ . The end result is

$$\|(q - \lambda I)^{-1}\| \leq M_{\Phi, \psi} |\lambda|^{-1}.$$

This completes the proof of the estimate (2.3) from Theorem 2.1.

To prove the estimate (2.4) of Theorem 2.1. As in the first arguments to prove estimate (2.3) above, here, we drop the positive term  $|\lambda| \int_0^1 |v(t)|^2 dt$  from

$$\int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt + |\lambda| \int_0^1 |v(t)|^2 dt \leq M |v| \|(q - \lambda I)v\|.$$

It follows that

$$\int_0^1 \omega^{2\beta}(t) |v'(t)|^2 dt \leq M |v| \|(q - \lambda I)v\|.$$

Set  $v = (q - \lambda I)^{-1}f$ ,  $f \in H$  in the latter inequality, and as above, by proceeding with similar calculations, we then obtain:

$$\int_0^1 \omega^{2\beta}(t) \left| \frac{d}{dt} (q - \lambda I)^{-1} f(t) \right|^2 dt \leq M \| (q - \lambda I)^{-1} f \| \| (q - \lambda I)(q - \lambda I)^{-1} f \|.$$

Since  $(q - \lambda I)(q - \lambda I)^{-1}f = f$ , and

$$\int_0^1 \omega^{2\beta}(t) \left| \frac{d}{dt} (q - \lambda I)^{-1} f(t) \right|^2 dt \leq M \| (q - \lambda I)^{-1} f \| \| f \|,$$

consequently by (2.3) we have  $\| (q - \lambda I)^{-1} f \| \leq M |f| |\lambda|^{-1}$ . Then,

$$\int_0^1 \omega^{2\beta}(t) \left| \frac{d}{dt} (q - \lambda I)^{-1} f(t) \right|^2 dt \leq M \| (q - \lambda I)^{-1} f \| \| f \| \leq M M |\lambda|^{-1} |f|^2.$$

Therefore,

$$\int_0^1 \omega^{2\beta}(t) \left| \frac{d}{dt} (q - \lambda I)^{-1} f(t) \right|^2 dt \leq M_{\Phi, \psi} |\lambda|^{-1} |f|^2;$$

i.e.,  $\| \omega^\beta(t) \frac{d}{dt} (q - \lambda I)^{-1} f \|^2 \leq M_{\Phi} |\lambda|^{-1} |f|^2$ . i.e.,

$$\| \omega^\beta(t) \frac{d}{dt} (q - \lambda I)^{-1} f \| \leq M'_{\Phi, \psi} |\lambda|^{-\frac{1}{2}} |f|.$$

Consequently,

$$\| \omega^\beta(t) \frac{d}{dt} (q - \lambda I)^{-1} \| \leq M'_{\Phi, \psi} |\lambda|^{-\frac{1}{2}}.$$

This estimate completes the proof of (2.4); Theorem 2.1 is thereby proved.

## 2.2 Resolvent Estimate of $\mathcal{P}$ in $H = L_2(0, 1)$ in General Case

In this section, we will derive a new general theorem by dropping assumption (2.2) from Theorem 2.1 in Section (2.1).

**Theorem 2.2.** Let  $\Phi_\varphi$  and  $\mathcal{P}$  be defined as in Theorem 2.1, and let that except for assumption (2.2) of Theorem 2.1, all other assumptions

are satisfied: Let the differential operator  $(qv)(t) = -\frac{d}{dt} \left( \omega^{2\beta}(t)\mu(t)\frac{dv(t)}{dt} \right)$ , acting on  $H = L_2(0, 1)$ . Assume that

$$\mu(t) \in C^2[0, 1], \quad \mu(t) \in \mathbf{C} \setminus \Phi_\varphi, \quad \forall t \in [0, 1]. \quad (2.9)$$

Then, for a sufficiently large number in modulus  $\lambda \in \Phi_{\varphi, \psi}$ , the inverse operator  $(q - \lambda I)^{-1}$  exists and is continuous in space  $H = L^2(0, 1)$ , and the following estimate holds:

$$\|(q - \lambda I)^{-1}\| \leq M_{\Phi_{\varphi, \psi}} |\lambda|^{-1} \quad (2.10)$$

where  $M_{\Phi_{\varphi, \psi}}, C_{\Phi_{\varphi, \psi}} > 0$  are sufficiently large numbers depending on  $\Phi_{\varphi, \psi}$  and  $|\lambda| > C_{\Phi_{\varphi, \psi}}$ .

**Proof.** To prove the Theorem 2.2, we need to construct the functions  $\varphi_{(1)}(t), \dots, \varphi_{(\rho)}(t), \mu_{(1)}(t), \dots, \mu_{(\rho)}(t)$  so that each one of the functions  $\mu_{(j)}(t) \ j = 1, \dots, \rho \ (t \in \text{supp } \varphi_{(j)})$ , as the function  $\mu(t)$  in Theorem 2.1 satisfies (2.2). Therefore, let

$$\mu_{(1)}(t), \dots, \mu_{(\rho)}(t), \quad \varphi_{(1)}(t), \dots, \varphi_{(\rho)}(t) \in C^\infty[0, 1]$$

satisfy

$$0 \leq \varphi_{(j)}(t), \quad j = 1, \dots, \rho, \quad \varphi_{(1)}^2(t) + \dots + \varphi_{(\rho)}^2(t) \equiv 1 \quad (0 \leq t \leq 1)$$

$$\frac{d}{dt} \varphi_{(j)}(t) \in C_0^\infty(0, 1), \quad \mu_{(j)}(t) = \mu(t), \quad \forall t \in \text{supp } \varphi_{(j)}$$

$$\mu_{(j)}(t) \in \mathbf{C} \setminus \Phi_\varphi \quad \forall t \in [0, 1], \quad j = 1, \dots, \rho.$$

$$|\arg\{\mu_{(j)}(t_1)\mu_{(j)}^{-1}(t_2)\}| \leq \frac{\pi}{8}, \quad (\forall t_1, t_2 \in \text{supp } \varphi_{(j)}), \quad j = 1, \dots, \rho.$$

In view of Theorem 2.1, and by (2.3) and (2.4) set  $(q_{(j)}v)(t) = q(t)$ , we will have the differential operator

$$(q_{(j)}v)(t) = -\frac{d}{dt} \left( \omega^{2\beta}(t)\mu_{(j)}(t)\frac{dv(t)}{dt} \right)$$



acting on  $H = L_2(0, 1)$  where

$$D(q_{(j)}) = \{v \in \mathring{\mathcal{H}} \cap W_{2,loc}^2(0, 1), \quad (\omega^{2\beta}(t)\mu_{(j)}v')' \in H\}.$$

Due to the assertion of Theorem 2.1, for  $0 \neq \lambda \in \Phi_\varphi$  the inverse operator  $(q - \lambda I)^{-1}$  exists and is continuous in space  $H = L^2(0, 1)$  and satisfies

$$\begin{aligned} \|(q_{(j)} - \lambda I)^{-1}\| &\leq M_1|\lambda|^{-1}, \quad \|\omega^\beta(t) \frac{d}{dt}(q_{(j)} - \lambda I)^{-1}\| \leq M_1|\lambda|^{-\frac{1}{2}}, \\ &(0 \neq \lambda \in \Phi_{\varphi,\psi}). \end{aligned} \tag{2.11}$$

Let us introduce

$$T(\lambda) = \sum_{j=1}^{\rho} \varphi_{(j)}(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}. \tag{2.12}$$

Here  $\varphi_{(j)}$  is the multiplication operator in  $H$  by the function  $\varphi_{(j)}(t)$ .

Consequently,

$$(q - \lambda I)T(\lambda)v = I_1 + I_2 + I_3 + I_4$$

where

$$\begin{aligned} I_1 &= -\sum_{j=1}^{\rho} [\omega^{2\beta}(t)\mu(\varphi_{(j)})'_t]'_t (q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v, \\ I_2 &= -\sum_{j=1}^{\rho} \omega^{2\beta}(t)\mu(\varphi_{(j)})'_t \frac{d}{dt}(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v, \\ I_3 &= -\sum_{j=1}^{\rho} \varphi_{(j)}[\omega^{2\beta}(t)\mu \frac{d}{dt}(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v]'_t, \\ I_4 &= -\lambda \sum_{j=1}^{\rho} \varphi_{(j)}(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v. \end{aligned}$$

As  $\mu_{(j)}(t) = \mu(t)$  ( $\forall t \in \text{supp } \varphi_{(j)}$ ), replace  $\mu(t)$  by  $\mu_{(j)}(t)$  in the sum  $I_3$ .

Then, in view of  $\sum_{j=1}^{\rho} \varphi_{(j)}^2(t) \equiv 1$ , we will have

$$\begin{aligned} I_3 + I_4 &= -\sum_{j=1}^{\rho} \varphi_{(j)}[\omega^{2\beta}(t) \frac{d}{dt}(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v]'_t \\ &\quad + \lambda(q_{(j)} - \lambda I)^{-1}\varphi_{(j)}v \\ &= \sum_{j=1}^{\rho} \varphi_{(j)}(q_{(j)} - \lambda I)[q_{(j)} - \lambda I]^{-1}\varphi_{(j)}v = \sum_{j=1}^{\rho} \varphi_{(j)}^2v = v. \end{aligned}$$

i.e.,  $I_3 + I_4 = v$ . When considering  $I_1 + I_2 = G(\lambda)v$ , then

$(q - \lambda I)T(\lambda)v = v + G(\lambda)v$ . Or equivalently

$$(q - \lambda I)T(\lambda) = I + G(\lambda). \tag{2.13}$$

using the fact that  $\varphi_{(j)t}' \in C^\infty(0, 1)$ , and by (2.11), we can estimate  $I_1$ ,  $I_2$  as follows:

$$|I_1| \leq M \sum_{j=1}^{\rho} |(q_{(j)} - \lambda I)^{-1} \varphi_{(j)} v| \leq M |\lambda|^{-1} |v|,$$

$$|I_2| \leq M \sum_{j=1}^{\rho} \left| \frac{d}{dt} (q_{(j)} - \lambda I)^{-1} \varphi_{(j)} v \right| \leq M'' |\lambda|^{-\frac{1}{2}} |v|.$$

Using these estimates, and in view of  $G(\lambda)$  above, we will have

$$\|G(\lambda)(v)\| \leq |I_1| + |I_2| \leq M |\lambda|^{-1} |v| + M'' |\lambda|^{-\frac{1}{2}} |v|,$$

$\lambda$  is a sufficiently large number, implying that  $|\lambda|^{-1} \leq |\lambda|^{-\frac{1}{2}}$  then

$$\|G(\lambda)\| \leq M'_{\Phi_{\varphi,\psi}} |\lambda|^{-\frac{1}{2}}. \tag{2.14}$$

By this  $\lambda$ , we can also have  $\|G(\lambda)\| \leq \frac{1}{2} < 1$ , where  $\lambda \in \Phi_{\varphi,\psi}$ . Now, by this, and using the well-known theorem in operator theory, we conclude that  $I + G(\lambda)$  and hence, by (2.13),  $(q - \lambda I)T(\lambda)$  are invertible. Therefore  $((q - \lambda I)T(\lambda))^{-1}$  exists, and by (2.13)

$$(T(\lambda))^{-1}(q - \lambda I)^{-1} = (I + G(\lambda))^{-1}. \tag{2.15}$$

Adding  $+I$  and  $-I$  to the right side of (2.15) it follows that

$$(T(\lambda))^{-1}(q - \lambda I)^{-1} = (I + G(\lambda))^{-1} - I + I.$$

Setting  $F(\lambda) = (I + G(\lambda))^{-1} - I$  implies that

$$(T(\lambda))^{-1}(q - \lambda I)^{-1} = I + F(\lambda).$$

In view of  $\|G(\lambda)\| \leq \frac{1}{2} < 1$ , and (2.14), by applying the geometric series for  $F(\lambda)$ :

$$\begin{aligned} \|F(\lambda)\| &\leq \sum_{i=1}^{+\infty} \|G^i(\lambda)\| \leq \|G(\lambda)\|(1 + \|G(\lambda)\| + \|G(\lambda)\|^2 + \dots) \\ &\leq \|G(\lambda)\|(1 + 1/2 + 1/4 + \dots) \\ &\leq 2M'_{\Phi_{\varphi,\psi}}|\lambda|^{-1/2} \end{aligned}$$

i.e.,

$$\|F(\lambda)\| \leq M1_{\Phi_{\varphi,\psi}}|\lambda|^{-1}.$$

Now, by (2.11) and (2.12),

$$\begin{aligned} \|T(\lambda)\| &= \left\| \sum_{j=1}^{\rho} \varphi(j)(q(j) - \lambda I)^{-1} \varphi(j) \right\| \\ &\leq M''_{\Phi_{\varphi,\psi}} \|(q(j) - \lambda I)^{-1}\| \\ &\leq M''_{\Phi_{\varphi,\psi}} M_{\Phi_{\varphi,\psi}} |\lambda|^{-1} = M2_{\Phi_{\varphi,\psi}} |\lambda|^{-1}; \end{aligned}$$

i.e.,

$$\|T(\lambda)\| \leq M2_{\Phi_{\varphi,\psi}} |\lambda|^{-1}.$$

By this and (2.15), it follows that

$$\begin{aligned} \|(A - \lambda I)^{-1}\| &= \|T(\lambda)\| \|I + F(\lambda)\| \\ &\leq M2_{\Phi_{\varphi,\psi}} |\lambda|^{-1} (1 + M1_{\Phi_{\varphi,\psi}} |\lambda|^{-1}) \\ &\leq M2_{\Phi_{\varphi,\psi}} |\lambda|^{-1} + M1_{\Phi_{\varphi,\psi}} M2_{\Phi_{\varphi,\psi}} |\lambda|^{-2}. \end{aligned}$$

Since  $|\lambda|^{-2} \leq |\lambda|^{-1}$ , then,

$$\|(A - \lambda I)^{-1}\| \leq M_{\Phi_{\varphi,\psi}} |\lambda|^{-1}, \quad (|\lambda| \geq C_{\Phi_{\varphi,\psi}}, \quad \lambda \in \Phi_{\varphi,\psi}).$$

This estimate completes the proof of (2.10); Theorem 2.2 is thereby proved.

### 2.3 Asymptotic resolvent of degenerate elliptic differential operators in $L_\ell(0, 1)$

Let  $\mathcal{P}$  and  $A$  be as defined in Section 1. Suppose that there exists some closed sector  $\Phi_{\varphi,\psi} \subset \mathbf{C}$ , with the origin at zero, free from eigenvalues of the matrix  $A(t)$ , ( $0 \leq t \leq 1$ ). Consider those eigenvalues  $\mu_1(t), \dots, \mu_\ell(t)$  of the matrix  $A(t)$ , such that  $\mu_j(t) \in C^2[0, 1]$ , and convert the matrix  $A(t)$  to the form

$$A(t) = U(t)\Lambda(t)U^{-1}(t), \text{ where } U(t), U^{-1}(t) \in C^2([0, 1], \text{End } \mathbf{C}^\ell)$$

and

$$\Lambda(t) = \text{diag}\{\mu_1(t), \dots, \mu_\ell(t)\}.$$

Consider the space  $H_\ell = H \oplus \dots \oplus H$  ( $\ell$ -times), and so consider in the space  $H_\ell$  the operator 0

$$B(\lambda) = \text{diag}\{(q_1 - \lambda I)^{-1}, \dots, (q_\ell - \lambda I)^{-1}\}, \quad (2.16)$$

where the operator  $q_j$  satisfies  $(q_j v)(t) = -\frac{d}{dt} \left( \omega^{2\beta}(t) \mu_j \frac{dv(t)}{dt} \right)$ ,

$$D(q_j) = \{v \in \mathring{\mathcal{H}} \cap W_{2,loc}^2(0, 1) : \frac{d}{dt} \left( \omega^{2\beta}(t) \mu_j \frac{dv}{dt} \right) \in H\}. \quad (2.17)$$

According to the results obtaining from Section 3, for sufficiently large absolute values of  $\lambda \in \Phi_{\varphi,\psi}$ , the operator  $B(\lambda)$  exists and is continuous. Consider the operator  $\Gamma(\lambda) = UB(\lambda)U^{-1}$ , in which  $(Uu)(t) = U(t)u(t)$ , ( $u \in H_\ell$ ). We have

$$(\mathcal{P} - \lambda I)\Gamma(\lambda)u = -\frac{d}{dt} \left( \omega^{2\beta}(t)A(t)\frac{d}{dt}(U(t)B(\lambda)U^{-1}(t)u(t)) \right) = T_1 + T_2 + T_3,$$

where,  $T_1$  is equal to the following:

$$-\frac{d}{dt} \left( \omega^{2\beta}(t)A(t)U(t)\frac{d}{dt}B(\lambda)U^{-1}(t)u(t) \right) = -\frac{d}{dt}(\omega^{2\beta}(t)U(t)\Lambda(t)\frac{d}{dt}B(\lambda)U^{-1}u(t))$$

$$\begin{aligned}
 &= -U \frac{d}{dt}(\omega^{2\beta}(t)\Lambda(t)) \frac{d}{dt}B(\lambda)U^{-1}u - U'(t)\omega^{2\beta}(t)\Lambda \frac{d}{dt}B(\lambda)U^{-1}u \\
 &= \lambda UB(\lambda)U^{-1}u - U'(t)\omega^{2\beta}(t)\Lambda \frac{d}{dt}B(\lambda)U^{-1}u + UU^{-1}u, \\
 T_2 &= -\frac{d}{dt}(\omega^{2\beta}(t)AU'B(\lambda)U^{-1}u), \quad T_3 = -\lambda U(t)B(\lambda)U^{-1}u.
 \end{aligned}$$

Here we use the equality

$$-\frac{d}{dt}(\omega^{2\beta}(t)\Lambda \frac{d}{dt}B(\lambda)V) = V + \lambda B(\lambda)V, \quad V = U^{-1}u.$$

Since  $\omega^{2\beta}(t) \leq M \omega^{2\beta}(t)$ , and from the above relations, we will have

$$(\mathcal{P} - \lambda I)\Gamma(\lambda) = I + T_1^0 + T_2^0, \quad \text{where } T_2^0 = \omega^{2\beta}(t)a_{ij}AU'B(\lambda)U^{-1},$$

$$\|T_1^0\| \leq M|\lambda|^{-1/2} \quad (\lambda \in \Phi_{\varphi,\psi}, \quad |\lambda| \geq c).$$

For estimates of the operator  $T_2^0$ , the Hardy-type inequality is useful (see [5]). Thus, for a sufficiently large absolute-value of  $\lambda \in \Phi_{\varphi,\psi}$ , the estimate  $\|T_2^0\| \leq M'|\lambda|^{-1/2}$  is true, and so we have

$$(\mathcal{P} - \lambda I)\Gamma(\lambda) = I + \mathcal{F}(\lambda), \quad \|\mathcal{F}(\lambda)\| \leq M|\lambda|^{-\frac{1}{2}} \quad (\lambda \in \Phi_{\varphi,\psi}, \quad |\lambda| > c'). \tag{2.18}$$

According to the assumptions made for  $\lambda \in \Phi_{\varphi,\psi}$ ,  $|\lambda| > c$ , the range of the operator  $\mathcal{P} - \lambda I$  coincides with  $H_\ell$ . The operator  $\mathcal{P}^*$  and its domain  $D(\mathcal{P}^*)$  have the same structures as  $\mathcal{P}$ ,  $D(\mathcal{P})$ . Therefore, for a sufficiently large absolute value of  $\lambda \in S$ , the range of the operator  $\mathcal{P}^* - \lambda I$  coincides with  $H_\ell$ , and consequently,  $\ker(\mathcal{P} - \lambda I) = 0$ . This equality by (2.18) proves the existence of the continuous operator  $(\mathcal{P} - \lambda I)^{-1}$ , which satisfies

$$(\mathcal{P} - \lambda I)^{-1} = \Gamma(\lambda)(I + \mathcal{Y}(\lambda)) \tag{2.19}$$

in which the operational-function  $\mathcal{Y}(\lambda)$  has the estimate

$$\|\mathcal{Y}(\lambda)\| \leq M|\lambda|^{-\frac{1}{2}} \quad (\lambda \in \Phi_{\varphi,\psi}, \quad |\lambda| > c_0) \tag{2.20}$$

Recall that

$$\Gamma(\lambda) = UB(\lambda)U^{-1}, \quad B(\lambda) = \text{diag}\{(q_1 - \lambda I)^{-1}, \dots, (q_\ell - \lambda I)^{-1}\} \quad (2.21)$$

By (2.19)-(2.21), we get

$$\|(q_j - \lambda I)^{-1}\| \leq M|\lambda|^{-1}, \quad \|\omega^\beta(t) \frac{d}{dt}(q_j - \lambda I)^{-1}\| \leq M|\lambda|^{-\frac{1}{2}} \quad (\lambda \in \Phi_{\varphi, \psi}, |\lambda| \geq c),$$

which proves Theorem 2.1.

### 3 Conclusion

This paper has argued on the differential operators. In fact by diagonalizing matrix of eigenvalues and by utilizing of the first representation theorem, we obtain a new way in estimating Spectral properties of the differential operators.

### 4 Acknowledgements

This research was supported by Lorestan University.

### 5 Authors contributions

All authors contributed equally to the manuscript and read and approved the final manuscript.

### 6 Competing interests

The authors declare that they have no competing interests.

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